

An overview of the Calderón problem

VI Jornadas Alama I Barcelona, May 2023

María Ángeles García Ferrero (UB)

INTRODUCTION

Goal: to determine the electrical conductivity of a medium from voltage and current measurements on the boundary.

Applications. oil prospection, non-destructive testing (ERT)
medical imaging (EIT)

Formulation: $\Omega \subset \mathbb{R}^n$ bdd, regular enough.

$$\gamma \in L^\infty(\Omega): \gamma(x) \geq c > 0$$

Conductivity eq.

$$\left. \begin{array}{l} -\nabla \cdot (\gamma \nabla u) = 0 \text{ in } \Omega \\ u = g \text{ on } \partial\Omega \end{array} \right\} \textcircled{1}$$

Dirichlet-to-Neumann map:

$$\Lambda_\gamma : g \mapsto \gamma \partial_\nu u|_{\partial\Omega} \quad (\text{weak}^*)$$

current flux through $\partial\Omega$

Well-posed
 $g \in H^{1/2}(\partial\Omega) \Rightarrow \exists! u \in H^1(\Omega)$

Well-defined & bdd.

$$H^{1/2}(\partial\Omega) \rightarrow H^{-1/2}(\partial\Omega)$$

$$* \langle \Lambda_\gamma g, h \rangle = \int_\Omega \gamma \nabla u \cdot \nabla v_h dx \quad \forall h \in H^1(\Omega)$$

Calderón problem: $\Lambda_\gamma \mapsto \gamma$

Main questions:

- Uniqueness: $\Lambda_{\gamma_1} = \Lambda_{\gamma_2} \Rightarrow \gamma_1 = \gamma_2$?
- Reconstruction: \exists convergent algorithm $\Lambda_\gamma \rightsquigarrow \gamma$?
- Stability: $\Lambda_{\gamma_1}, \Lambda_{\gamma_2}$ close $\Rightarrow \gamma_1, \gamma_2$ close?

Some features.

- Non-linear.
- Ill-posed: no stability; only if $\gamma_i \in K$ and even so, no Lip. dependence.
- $n=1$ undetermined; $n=2$ determined; $n \geq 3$ overdetermined

Further questions:

- Finite / discrete measurements.
- Partial data
- Stabilization effects.
- ⊕ Anisotropic conductivities.

Bibliography (short surveys)

- M. Salo, Calderón problem (lecture notes)

http://users.jyu.fi/~salomi/lecturenotes/calderon_lectures.pdf

- G. Uhlmann, 30 years of the Calderón's problem

<http://www.numdam.org/item/10.5802/slsedp.40.pdf>

THE CALDERÓN PROBLEM FOR THE SCHRÖDINGER EQ.

Formulation: $q \in L^\infty(\Omega)$

$$\left. \begin{aligned} (-\Delta + q)u &= 0 & \text{in } \Omega \\ u &= f & \text{on } \partial\Omega \end{aligned} \right\} \quad (2) \quad \Lambda_q: f \mapsto \partial_\nu u|_{\partial\Omega}$$

Well-posedness needs to be assumed (0 not an eigenvalue).

Inverse problem: $\Lambda_q \rightarrow q$

Reduction of the EIT problem if $\gamma \in C^2(\bar{\Omega})$

(1) and (2) are equivalent with $q = \frac{\Delta \gamma^2}{\gamma^2} \in L^\infty(\Omega)$, $f = \gamma^2 g$, $u = \gamma^2 v$.

Well-posedness for (2) is ensured in this case.

$$\Lambda_q f = \gamma^2 \Lambda_\gamma(\gamma^{-2} f) + \frac{1}{2} \gamma^{-1} (\partial_\nu \gamma) f \Big|_{\partial\Omega}$$

$$\begin{array}{ccccc} \Lambda_\gamma & \xrightarrow{\quad} & \Lambda_q & \xrightarrow{\quad} & q & \xrightarrow{\quad} & \gamma \\ & \uparrow & & \downarrow & & \uparrow & \\ & \text{Boundary} & & & & \text{Boundary reconstr.} & \\ & \text{reconstruction} & & & & \rightarrow \gamma|_{\partial\Omega} & \\ & \text{[Kohn, Vogelius 1984]} & & & & & \end{array}$$

Main ideas:

• Alessandrini's identity:

$$\langle (\Lambda_{q_1} - \Lambda_{q_2}) f_1, f_2 \rangle = \int_\Omega (q_1 - q_2) u_1 u_2 dx,$$

$$\left. \begin{aligned} (-\Delta + q_i) u_i &= 0 & \text{in } \Omega \\ u_i &= f_i & \text{on } \partial\Omega \end{aligned} \right\}$$

□ Uniqueness (Sylvester, Uhlmann 1987)

$$\Lambda_{q_1} = \Lambda_{q_2} \Rightarrow \int_\Omega (q_1 - q_2) u_1 u_2 dx = 0 \Rightarrow q_1 = q_2$$

$$u_1, u_2 \sim e^{i\xi \cdot x} \quad \forall \xi \in \mathbb{R}^n$$

• Complex geometric optic solutions (CGOs)

$$u = e^{i\xi \cdot x} (1 + r_\xi) \quad \text{with } \xi \in \mathbb{C}^n: \xi \cdot \xi = 0 \text{ \& \text{ " } r_\xi \rightarrow 0 \text{ " as } |\xi| \rightarrow \infty.}$$

$$\Rightarrow \xi_1, \xi_2 \in \mathbb{C}^n: \xi_1 \cdot \xi_1 = \xi_2 \cdot \xi_2 = 0, |\xi_1|, |\xi_2| \rightarrow \infty, \xi_1 + \xi_2 = \xi \Rightarrow n \geq 3$$

$$\text{Then } u_1, u_2 = e^{i\xi \cdot x} (1 + r_{\xi_1} + r_{\xi_2} + r_{\xi_1} r_{\xi_2}) \rightarrow e^{i\xi \cdot x}$$

CGO solutions

Thm (Sylvester-Uhlmann 1987): $\exists C_0 = C_0(n, \Omega)$ s.t. for any $\zeta \in \mathbb{C}^n$: $\zeta \cdot \zeta = 0$, $|\zeta| \geq \max(C_0 \|q\|_{L^\infty(\Omega)}, 1)$ $\exists u \in H^2(\Omega)$ s.t.

• $u(x) = e^{i\zeta \cdot x} (1 + r_\zeta(x))$

• $(-\Delta + q)u = 0$ in Ω

• $\|r_\zeta\|_{H^m(\Omega)} \leq C C_0 |\zeta|^{m-1} \|q\|_{L^\infty(\Omega)}$ $m = 0, 1, 2$.

Idea of the proof:

$$\underbrace{e^{-i\zeta \cdot x}}_{\sim} (-\Delta + q)(e^{i\zeta \cdot x} (1 + r_\zeta)) = (-\Delta - 2i\zeta \cdot \nabla + q)(1 + r_\zeta) = 0$$

$$\Rightarrow \boxed{(-\Delta - 2i\zeta \cdot \nabla + q)r_\zeta = -q}$$

Step 1: Constant coefficients: $(-\Delta - 2i\zeta \cdot \nabla)r = \overset{L^2(\Omega)}{F}$ in $(-\frac{\Omega}{n}, \frac{\Omega}{n})^n$

Fourier with a shift $e^{ik \cdot x} \xrightarrow{\zeta = \tau(e_1 + ie_2)} e^{i(k + \frac{1}{2}e_2) \cdot x}$ ($k \in \mathbb{Z}^n$)

$$|k|^2 + 2\zeta \cdot k \quad |k + \frac{1}{2}e_2|^2 + 2\tau(k_1 + i(k_2 + \frac{1}{2})) \neq 0$$

$$\|r\|_{H^m(\Omega)} \leq C_0 |\zeta|^{m-1} \|F\|_{L^2(\Omega)} \quad |\zeta| > C \quad (\text{constrain in } H^1, H^2 \text{ norms})$$

Step 2: $(-\Delta - 2i\zeta \cdot \nabla + q)r = F$

$$(-\Delta - 2i\zeta \cdot \nabla)r = F - qr = \tilde{F} \Rightarrow r = G_\zeta \tilde{F}$$

$$\tilde{F} = \underbrace{(I + qG_\zeta)^{-1}}_F F$$

invertible if $\|qG_\zeta\|_{L^2 \rightarrow L^2} < 1$

$$\|q\|_{L^\infty} C_0 |\zeta|^{-1} \leq \frac{1}{2}$$

A few (more) ideas about the main results (n ≥ 3)

$q_j \in L^\infty(\Omega)$: 0 not an eigenvalue of $-\Delta + q_j$ in Ω .

Uniqueness (Sylvester, Uhlmann 1987)

$$\Lambda_{q_1} = \Lambda_{q_2} \Rightarrow q_1 = q_2$$

Given $\xi \in \mathbb{R}^n$, let $\theta_1, \theta_2 \in \mathbb{R}^n$: $\theta_1 \cdot \theta_2 = \theta_1 \cdot \xi = \theta_2 \cdot \xi = 0$

$$|\theta_2|^2 = |\theta_1|^2 + |\xi|^2$$

$$\left. \begin{aligned} \zeta_1 &= \frac{1}{2} (\xi + \theta_1 + i\theta_2) \\ \zeta_2 &= \frac{1}{2} (\xi - \theta_1 - i\theta_2) \end{aligned} \right\} u_1, u_2 \longrightarrow e^{i\xi \cdot x} \text{ as } |\theta_1| \rightarrow \infty$$

Stability (Alessandrini 1988)

$$\|q_j\|_{L^\infty(\Omega)} \leq M \Rightarrow \|q_1 - q_2\|_{L^\infty(\Omega)} \leq C |\log \|\Lambda_{q_1} - \Lambda_{q_2}\|_*|$$

$$\int_{\mathbb{R}^n} (q_1 - q_2) e^{i\xi \cdot x} dx = \langle (\Lambda_{q_1} - \Lambda_{q_2}) f_1, f_2 \rangle + \int_{\mathbb{R}^n} (q_1 - q_2) e^{i\xi \cdot x} (r_{\zeta_1} + r_{\zeta_2} + r_{\zeta_1} r_{\zeta_2}) dx$$

$$|q_1 - q_2(\xi)| \leq \|\Lambda_{q_1} - \Lambda_{q_2}\|_* \underbrace{\|u_1\|_{H^1(\Omega)}}_{\leq C|\zeta_1| e^{C|\zeta_1|}} \underbrace{\|u_2\|_{H^1(\Omega)}}_{\leq C|\zeta_2| e^{C|\zeta_2|}} + M (\underbrace{\|r_{\zeta_1}\|_{L^2(\mathbb{R}^n)} + \dots}_{\leq C(|\zeta_1|^{-1} + |\zeta_2|^{-1} + |\zeta_1|^{-1}|\zeta_2|^{-1})})$$

$$|\zeta_j| = \tau$$

$$\approx \|\Lambda_{q_1} - \Lambda_{q_2}\|_* \tau e^{C\tau} + \tau^{-1} \text{ Optimization } \epsilon e^{C\tau} \approx \tau^{-1}$$

* Optimal (Mandache 2001)

Reconstruction (Nachmann 1988) Ω smooth

$$\langle (\Lambda_q - \Lambda_0)(u_{\zeta_1}|_{\partial\Omega}), e^{i\zeta_2 \cdot x}|_{\partial\Omega} \rangle = \int q u_{\zeta_1} e^{i\zeta_2 \cdot x} \xrightarrow{|\theta_1| \rightarrow \infty} \int q e^{i\xi \cdot x}$$

$$\underbrace{e^{i\zeta_1 \cdot x} (1 + r_{\zeta_1})|_{\partial\Omega}}_{?}$$

$$\underbrace{(-\Delta - 2i\xi \cdot \nabla)}_{e^{i\xi \cdot x} (-\Delta) e^{i\xi \cdot x}} r = -q(1+r) \Rightarrow (-\Delta) e^{i\xi \cdot x} r = -q u_\xi$$

$$\Rightarrow e^{i\xi \cdot x} r = - \int_{\Omega} \underbrace{K_\xi(x,y)}_{-\Delta K_\xi = \delta_x} q u_\xi = \langle (\Lambda_q - \Lambda_0) u_\xi|_{\partial\Omega}, K_\xi|_{\partial\Omega} \rangle$$

\uparrow
 $(x \notin \Omega) \rightsquigarrow x \rightarrow \partial\Omega$

Some further results.

- Uniqueness for Lip. conductivities [Caro, Rogers 2016]
 q as a distribution, suitable a priori estimates
- Lip. stability for piecewise constant conductivities
[Alessandrini, Vessella 2005]
- Finite number of measurements for $q(\gamma)$ in a finite dimensional subspace. (Lip. conductivity)
[Alberti, Santacesaria 2018]
- Born approximation (linear on Λ_q)
$$\hat{q}_B(\xi) = \lim_{|\xi| \rightarrow \infty} \langle (\Lambda_q - \Lambda_0) e^{i\xi \cdot x} |_{\partial\Omega} e^{i\xi \cdot x} |_{\partial\Omega} \rangle$$

Explicit formula in terms of Λ_q -matrix for $\Omega = B^3$
[Barceló, Castro, Macià, Meroño 2022]

THE CALDERÓN PROBLEM FOR NONLOCAL SCHRÖDINGER EQS.

Fractional Laplacian

$$(-\Delta)^s u = \mathcal{F}^{-1}(|\cdot|^{-2s} \mathcal{F}u)$$

$$(-\Delta)^s u(x) = \text{p.v.} \int_{\mathbb{R}^n} (u(x+y) - u(x)) |y|^{-n-2s} dy$$

Random walk with possibly long jumps; Levy process

$$\left. \begin{aligned} (-\Delta)^s + q &= 0 \text{ in } \Omega \\ u &= f \text{ in } \Omega_e \end{aligned} \right\} \text{(3)} \quad \Lambda_q: f \mapsto (-\Delta)^s u|_{\Omega_e}$$

Uniqueness from partial data: [Ghosh, Salo, Uhlmann 2020]

$$\Lambda_{q_1} f|_{W_2} = \Lambda_{q_2} f|_{W_2} \quad \forall f \in C_0^\infty(W_1) \Rightarrow q_1 = q_2$$



$$\bullet \langle (\Lambda_{q_1} - \Lambda_{q_2}) f_1, f_2 \rangle = \int_{\Omega} (q_1 - q_2) u_1 u_2$$

• Runge approximation: $\{u|_{\Omega} : \text{(3) with } f \in C_0^\infty(W)\}$ dense in $L^2(\Omega)$.

• Antilocality: $u=0 = (-\Delta)^s u$ in $\Omega \Rightarrow u \equiv 0$. (AL)

Uniqueness from one measurement [G, Rüland, S, U 2020] $q_i \in C^0(\bar{\Omega})$

$$\Lambda_{q_1} f|_{W_2} = \Lambda_{q_2} f|_{W_2} \text{ for some } f \in C_0^\infty(W_1) \setminus \{0\} \Rightarrow q_1 = q_2$$

$$u_1 - u_2 = (-\Delta)^s (u_1 - u_2) = 0 \text{ in } W_2 \stackrel{\text{AL}}{\Rightarrow} u_1 - u_2 = 0 \text{ in } \Omega$$

$$q_1 \stackrel{*}{=} \frac{(-\Delta)^s u_1}{u_1} = \frac{(-\Delta)^s u_2}{u_2} \stackrel{*}{=} q_2$$

* Fine if $u_i \neq 0$ in $U \subset \Omega$.
True due to antilocality.

Reconstruction

$$u = \lim_{t \rightarrow 0} u_t \quad u_t := \arg \min_{w \in \tilde{H}^s(W)} \left\{ \|(-\Delta)^s w - \Lambda_q f\|_{H^{-s}(W)}^2 + t \|w\|_{H^s(\mathbb{R}^n)}^2 \right\}$$

(Tikhonov regularization)